

# **Exhibit 3**

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**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

**SAN FRANCISCO DIVISION**

**IN RE: CATHODE RAY TUBE (CRT)  
ANTITRUST LITIGATION**

Master File No. CV-07-5944 SC  
MDL No. 1917

This Document Relates To:  
  
ALL INDIRECT PURCHASER ACTIONS

**INDIRECT PURCHASER PLAINTIFFS'  
FIRST SET OF INTERROGATORIES  
TO TOSHIBA DEFENDANTS**

**PROPOUNDING PARTY:** Indirect Purchaser Plaintiffs

**RESPONDING PARTY:** Toshiba Corporation, Toshiba America Inc., Toshiba America Consumer Products, LLC, Toshiba America Electronic Components, Inc., and Toshiba America Information Systems, Inc.

**SET NUMBER:** One (1)

Pursuant to Rules 26 and 33 of the Federal Rules of Civil Procedure, the Indirect Purchaser Plaintiffs ("Plaintiffs") hereby request that Toshiba Corporation, Toshiba America Inc., Toshiba America Consumer Products, LLC, Toshiba America Electronic Components, Inc., and Toshiba America Information Systems, Inc. (collectively, "Toshiba") provide written response to the following interrogatories below within thirty (30) days from the date Toshiba receives these Interrogatories.

**DEFINITIONS**

As used herein, the following items have the meaning indicated below:

1. “You” and “your” mean Toshiba Corporation, Toshiba America Inc., Toshiba America Consumer Products, LLC, Toshiba America Electronic Components, Inc., and Toshiba America Information Systems, Inc., their present or former members, officers, agents, employees, and all other persons acting or purporting to act on their behalf, including all present or former members, officers, agents, employees, and all other persons exercising or purporting to exercise discretion, making policy, and making decisions.

2. The words “all,” “any,” and “each” mean “each and every.”

3. The words “and” and “or” are both conjunctive and disjunctive as necessary.

4. The word “including” is used to illustrate only, and should not be construed as limiting in any way.

5. “Subsidiary,” “affiliate” and “joint venture” refers to any entity or person in which you have (or had) any financial or ownership interest.

6. “Employee” means any individual currently in the employ of, or at any time employed by, or acting as the agent of any Toshiba subsidiary, affiliate, joint venture or other related entity.

7. “CRT” means cathode ray tube and includes cathode ray tubes used in color televisions and color computer monitors.

8. “MTPD” shall refer to MT Picture Display Co., Ltd. (f/k/a Matsushita Toshiba Picture Display Co., Ltd.), a joint venture between Panasonic Corporation and Toshiba Corporation, established in April, 2003.

9. Unless otherwise stated, the “Relevant Time Period” shall mean the period beginning March 1, 1995 and continuing through the present.

10. “Identity” means that person’s name, job title, employer, and the present business address of that individual.

**INSTRUCTIONS**

1  
2 1. When asked to identify a natural person, state the person's name, employer,  
3 position, dates of employment/tenure, business address for all times during the relevant period. If  
4 any of such information has changed during the Relevant Time Period, specify the time period to  
5 which the information provided in your answer pertains.

6 2. When asked to identify any entity other than a natural person, state the name, and  
7 address of the principal office or headquarters. If any of the information has changed during the  
8 Relevant Time Period, specify the time period to which the information provided in your answer  
9 pertains.

10 3. If you elect to produce business records in response to an Interrogatory pursuant to  
11 Federal Rule of Civil Procedure 33(d), you shall produce the records as they are kept in the usual  
12 course of business or shall organize and label them to corresponding with the Interrogatory. If the  
13 document is being produced in its native electronic format (allowing the document to retain its  
14 metadata), identify the document using its hash or other appropriate electronic identification and  
15 identify to the interrogatories to which the document is responsive. If the document is not being  
16 produced in electronic form, identify the document using the applicable bates numbers or  
17 specifically identify the type of document being produced (*e.g.*, letter, memorandum, telegram,  
18 contract, invoice, *etc.*), its date and author(s), its custodian, and every person to whom such  
19 document or any copy thereof was given or sent. For all documents produced pursuant to Rule  
20 33(d), identify the name of the employee, officer, or agent certifying the documents as business  
21 records.

22 4. If any answer to an Interrogatory or part thereof is withheld on a claim of privilege  
23 or constitutes attorney work product such that you will not respond to the Interrogatory, please  
24 provide a written statement describing each and every fact or basis upon which the purported  
25 privilege or claim of work product is asserted.

26 5. The obligation to answer these interrogatories is continuing pursuant to Rule 26(e)  
27 of the Federal Rules of Civil Procedure. If at any time after answering these interrogatories you  
28

discover additional information that will make your answers to these interrogatories more complete or correct, amend your answers as soon as reasonably possible.

**INTERROGATORIES**

**INTERROGATORY NO. 1**

State the name, address, telephone number, and relationship to you of each person who prepared or assisted in the preparation of the responses to these Interrogatories. (Do not identify anyone who simply typed or reproduced the responses.)

**INTERROGATORY NO. 2**

Identify separately for each year from 2003 to 2009, each of MTPD's board and committees, including (a) its full name; (b) a brief description of its function; and (c) all members of that board or committee.

**INTERROGATORY NO. 3**

Identify, separately for each year from 2003 to 2009 each of MTPD's corporate officers, including the name of each company (including any subsidiary, affiliate, joint venture or other related entity of Toshiba) that employed such individual throughout the Relevant Time Period, his or her title, business address, the division or unit of the company where such individual worked, and a description of his or her responsibilities for each position or title held.

**INTERROGATORY NO. 4**

Separately for each year from 2003 to 2009, identify those employees who transferred (a) from you to MTPD; and (b) from MTPD to you. For purposes of this Interrogatory, "transferred" means the change of official employment from you to MTPD or *vice versa*, the change of work duties or job descriptions for the benefit of the other entity, or the relocation to a facility occupied exclusively by the other entity.

**INTERROGATORY NO. 5**

List the date, nature, and amount of any payments you made from 2003 to 2009 to individuals who were employed by or worked for MTPD, and describe with specificity whether such payments occurred directly to the employee, through some social fund or other entity or governmental program.

**INTERROGATORY NO. 6**

For every person identified in Interrogatory Nos. 2 and 3, state, for each year from 2003 to 2009, as applicable:

- i. The type or nature of any offered or accepted (a) stock option plan or other equity incentive plan, (b) bonus or other discretionary periodic payment, and (c) any other employee benefits; and
- ii. the identity of each individual or company who set, maintained, funded, or administered his or her (a) payroll, (b) bonus or other discretionary periodic payment, (c) stock option plan or other equity incentive plan, and (d) any other employee benefits.

**INTERROGATORY NO. 7**

State, for each year from 2003 to 2009, the identity of each individual who approved or authorized MTPD's corporate operating budget, including, without limitations, the estimates of revenues, the estimates of operating and capital expenditures, and the estimates of borrowings.

**INTERROGATORY NO. 8**

State the identity of each individual who paid MTPD's attorney bills for legal services in connection with the investigation of MTPD's alleged involvement in the CRT cartel by government antitrust authorities in Japan, the European Union, and the United States during 2006 through 2012.

**INTERROGATORY NO. 9**

Identify any Toshiba entity which purchased CRTs manufactured by MTPD from 2003 to 2009.

**INTERROGATORY NO. 10**

For every purchaser identified in Interrogatory No. 9, describe with specificity the pricing mechanism or decision process by which MTPD decided on the price for those sold CRTs, and how it differed, if at all, from the pricing mechanism or decision process employed for non-Toshiba affiliated purchasers of CRTs.

**INTERROGATORY NO. 11**

List, for each year from 2003 to 2009, the name, term and nature of every service level

1 agreement or other contract relating to professional services you entered into with MTPD  
2 (including, without limitations, contracts for legal, fiscal, tax, treasury, insurance, human  
3 resources, accounting and sales support services).

4 **INTERROGATORY NO. 12**

5 State the date, amount and interest rate (if applicable) of each capital or equity injection,  
6 loan or other financial contribution you provided to MTPD.

7 **INTERROGATORY NO. 13**

8 State the date and amount of any guarantees you made on behalf of MPTD, including the  
9 third party to whom the guarantee(s) were made.

10 **INTERROGATORY NO. 14**

11 List, for each year from 2003 to 2009, the dates, insured amount, listed beneficiaries,  
12 coverages and insurance carrier of any directors and officers (D&O) liability insurance covering  
13 board members and executives of MTPD, and identify which company (including any subsidiary,  
14 affiliate, joint venture or other related entity of Toshiba) paid the insurance premiums.

15 Dated: August 1, 2014

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**CERTIFICATE OF SERVICE**

I, Vanessa Buffington, declare that I am employed with the law firm of Trump, Alioto, Trump & Prescott LLP, whose address is 2280 Union Street, San Francisco, California 94123. I am over the age of eighteen years and not a party to the within-entitled action. On August 1, 2014, I caused a copy of the following documents to be served:

**INDIRECT PURCHASER PLAINTIFFS' FIRST SET OF INTERROGATORIES  
TO TOSHIBA DEFENDANTS**

via electronic mail to the parties below:

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Executed this 1st day of August, 2014, in San Francisco, California.

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